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Physiologically-Regulated Expression Vectors for Gene Therapy

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1. Introduction

Gene-replacement gene therapy has been under development for a number of years. In spite of the large amount of research invested into developing gene therapy for the treatment of recessive genetic disorders only a limited number of patients world-wide have received the benefits. In addition, several high profile adverse events in gene therapy trials have led to an increasing awareness of the challenges facing gene therapy treatments before they become established in the clinic. This has necessitated the development of novel advances in gene therapy vector design and delivery. This chapter will focus on the development of gene expression vectors incorporating native genomic regulatory elements that ensure transgene expression is physiologically relevant. Three main advances will be discussed here in detail; the use of whole genomic DNA loci to ensure physiologically-regulated transgene expression; development of viral vectors based on the herpes simplex virus type 1 for delivery of whole genomic DNA loci; and the development of genomic mini-gene vectors that contain native regulatory regions for the physiologically-regulated expression of cDNA mini-genes.

The principal aim of gene-replacement gene therapy is to complement the loss of function of an endogenous gene by supplying an exogenous 'working' copy in *trans*. The conventional approach to this is to supply a wild-type cDNA copy of the gene in a small vector in which transgene expression is controlled by a strong heterologous promoter, such as the immediate early promoter of cytomegalovirus (pCMV). The advantage of this approach is that the vectors are easy to use, have high levels of transgene expression, and fit easily into most viral delivery systems such as lentivirus and adenovirus. However, expression from these vectors is characteristically short-term and wide-spread with no tissue specificity or temporal regulation. One alternative to heterologous expression vectors for gene therapy is to utilise native genomic DNA regulatory elements to ensure gene expression that is both spatially and temporally regulated. A highly effective means of achieving gene expression that is physiologically-regulated is through the use of whole genomic loci which contain all introns, exons and regulatory regions in the correct genomic context. Expression from whole genomic loci has been proven to recapitulate endogenous expression. In the context of gene therapy, delivery of whole genomic loci using bacterial artificial chromosomes (BAC) has been shown to be an effective means of complementing gene deficiencies. Delivery of BAC vectors carrying complete loci encoding, for example, the genes for the human low density lipoprotein receptor (*LDLR*), the Friedreich's ataxia (*FRDA*) frataxin protein (*FXN*),

microtubule associated protein tau (*MAPT*) and hypoxanthine phosphoribosyltransferase (*HPRT*) effectively rescue gene deficiencies *in vitro*. It has also been shown that the complementation of gene deficiency is responsive to changes in the cellular milieu, an important point for conditions where gene expression is controlled by cellular signalling pathways and where over-expression of the gene is toxic.

Historically, BAC vectors have been discounted for gene therapy purposes as there was no viral delivery system with the transgene capacity for a whole genomic locus which may be ≥ 100 kb. Recently, viral vectors based on the herpes simplex virus type (HSV-1) amplicons have been developed and shown to have a transgene capacity of over 100 kb and a broad cell tropism making them an attractive means of delivering large transgenes for the purposes of gene therapy. Currently HSV-1 amplicons have been used in a number of gene complementation studies which will be reviewed in detail here.

Recent work in our laboratory has adapted the genomic locus approach for the treatment of familial hypercholesterolaemia (FH). FH is a condition caused by mutations in the *LDLR* gene and represents a unique challenge in gene therapy. Over-expression of the LDL receptor leads to a toxic accumulation of intracellular cholesterol. It is therefore essential that *LDLR* transgene expression is appropriately regulated. We have generated gene expression vectors in which expression of the *LDLR* cDNA was controlled by 10 kb of genomic DNA encompassing key regulatory regions in the *LDLR* genomic DNA promoter. These regulatory regions sense the levels of intracellular cholesterol. When cholesterol levels in the cell are high, LDLR expression is low; when the cholesterol stores become depleted, expression of the LDL receptor is high. Delivery of the *LDLR* mini-gene expression vectors *in vitro* and *in vivo* lead to efficient and prolonged *LDLR* gene expression *in vivo* that is sensitive to changes in cellular cholesterol and resulted in a decrease in circulating cholesterol in receptor deficient mice.

2. Physiologically-relevant gene expression vectors: use of a complete genomic locus

The central aim of gene-replacement gene therapy is to complement the loss of function of an endogenous gene by supplying a working copy of that gene *in trans*. Classically this was achieved using cDNA coding for the endogenous gene under the expression control of constitutively active exogenous promoters such as the immediate early promoter from the cytomegalovirus (pCMV). In general transgene expression levels from these vectors are characteristically high and therefore therapeutic effect is seen in some conditions. Clinical trials have demonstrated that these vectors are effective in ameliorating the symptoms of conditions such as haemophilia (Manno et al. 2006) and several severe combined immunodeficiencies (SCID) such as X-linked SCID (Cavazzana-Calvo et al. 2000), adenosine deaminase deficiency (Aiuti et al. 2009) and chronic granulomatous disease (Ott et al. 2006). The SCID trials also demonstrated that delivery of transgenes in the context of small cDNA vectors with no native expression control did have a number of issues including cell transformation.

2.1 Complete genomic locus ensures gene expression in the correct genomic context

There are several issues which may confound the use of cDNA expression cassettes to complement the loss of function of an endogenous gene: aberrant spatial expression dynamics resulting in gene expression in 'off-target' cells; aberrant temporal dynamics

resulting in continuous expression of a transgene with possible cytotoxic consequences; transgene over-expression at supra-physiological levels; and, the inability to produce multiple splice variants.

Transgenic mice offer an interesting insight into the benefits of using native genomic loci over cDNA expression systems to investigate the function of genes. For some genes it is essential that they are expressed in the correct spatial, developmental and temporal context to ensure functionality. The β -globin gene cluster is an excellent example of this. This genomic locus consists of five separate genes (5'- ϵ -G γ -A γ - δ - β -3') that are expressed at different developmental stages (Huang et al. 2000). The γ genes are expressed in foetal erythroid tissues while the δ and β are expressed in adult haematopoietic cells of the erythroid lineage. The expression of these genes is under the control of a region called the locus control region (Huang, Liu et al. 2000). In mice expressing the β -globin from a cDNA expression plasmid without the locus control region, low levels of protein are detected with no tissue-specificity (Magram et al. 1985; May et al. 2000; Vadolas et al. 2005). The use of the entire genomic locus of the β -globin gene cluster which included the locus control regions resulted in spatial and temporal expression profiles that mimicked the native profile (Porcu et al. 1997; Vadolas, Warden et al. 2005).

Further examples of the advantages of using the complete genomic locus comes from mice lacking either the frataxin (*Fxn*) gene (Cossee et al. 2000; Miranda et al. 2002) or the cystic fibrosis transmembrane conductance regulator (*Cftr*) gene (Zhou et al. 1994; Manson et al. 1997), mouse models of Friedrich's ataxia and cystic fibrosis, respectively. Mice lacking frataxin die at embryonic day six and crossing heterozygous knock-out mice with mice expressing the full genomic locus of the human *FXN* gene from a bacterial artificial chromosome (BAC) rescues the phenotype and expression patterns of mRNA and protein was physiological (Sarsero et al. 2004). Transgenic mice lacking the endogenous *Cftr* gene but expressing the full 200 kb of the human *CFTR* gene in a yeast artificial chromosome (YAC) show correct expression of CFTR protein in the appropriate spatial and temporal context (Manson, Trezise et al. 1997).

Other examples include comparisons between mice expressing the amyloid precursor protein (APP) as either a cDNA construct or as a complete locus within a YAC. The APP gene is involved with the development of Alzheimer's disease. It is a complex genomic locus comprising 18 exons that are alternatively spliced to give rise to four distinct transcripts (Hsiao et al. 1996). Mice expressing the APP cDNA vector do not express APP protein in the correct genomic context limiting the relevance of biological information obtained from these animals (Lamb 1995; Lamb et al. 1997). Mice expressing APP from the YAC construct displayed physiologically-relevant APP protein expression making them a far superior tool for the study of how APP might contribute to the development of Alzheimer's disease (Lamb, Call et al. 1997).

The advantages of using BAC plasmids to generate transgenic mice is now widely accepted. BAC transgenics have been shown, for example, to rescue knockout phenotypes in mice lacking the *Pkd1* gene involved in polycystic kidney disease (Pritchard et al. 2000) and mice lacking β -globin genes (Vadolas et al. 2002; Jamsai et al. 2005; Vadolas, Warden et al. 2005; Jamsai et al. 2006). BACs have also been useful in investigating novel genomic expression control regions. A negative regulatory region in the Wilson's disease gene was characterised using BAC plasmids (Bochukova et al. 2003). BACs were also used to characterise the locus control regions responsible for the differential expression of *Myf5* in skeletal muscle (Carvajal et al. 2001; Zammit et al. 2004). In addition to this, insertion of reporter genes into

BAC plasmids has enabled the understanding of spatial and temporal expression dynamics of many genes such as *Nkx2-5* (Chi et al. 2003). Recently BAC transgenesis has been used in studies of immunomodulation (Kulik et al. 2011), blood vessel development (Ishitobi et al. 2010) and in generating mouse models of Parkinson's disease that more closely recapitulate deficits in the human disease (Li et al. 2009). These studies represent a small sub-section of the work being performed using whole genomic loci to better understand gene function. They demonstrate that the use of native regulatory regions can yield more biologically-relevant data than over-expression studies. This is important in the generation of mouse models of disease and also in the development of therapeutic protocols to treat genetic disease.

2.2 Complete genomic locus for therapy

Transgenic animals offer extensive evidence that the use of cDNA expression vectors often does not result in physiologically-relevant expression patterns. In terms of gene therapy the use of these cDNA vectors may not be appropriate for diseases where the correct physiological expression of the transgene is vital for therapeutic effect and to protect cells from ectopic or cytotoxic over-expression, where proteins expressed with no control result in pathological changes in the transduced cell.

The use of a complete genomic DNA region in the design of gene therapy vectors is still a relatively new field. Manipulation and use of such large pieces of DNA can be challenging. Success has been seen however with a range of genes using a number of different techniques to isolate and deliver the locus. *In vitro* studies demonstrated that it was possible to achieve gene expression following non-viral BAC plasmid delivery. The gene involved in Lesh-Nyan syndrome, hypoxanthine phosphoribosyltransferase (*HPRT*) was delivered to *HPRT* deficient fibroblasts and resulted in sustained physiological levels of *HPRT* (Wade-Martins et al. 2000). Lipofection and an integrin targeting peptide were used to deliver a 143 kb BAC encompassing the locus of Nijmegen breakage syndrome gene (*NBS1*) resulting in expression of the *NBS1* gene product, nibrin (White et al. 2003). BACs have also been generated that contain the *CFTR* locus (Kotzamanis et al. 2009) delivery of which to CMT-93 cells resulted in mRNA expression that was correctly spliced (Kotzamanis, Abdulrazzak et al. 2009).

In vivo non-viral delivery of plasmids containing large genomic DNA inserts has been achieved in two studies. In the first study hydrodynamic tail vein injection or lipofection was used to successfully deliver plasmids containing 150 kb of non-gene specific DNA (Magin-Lachmann et al. 2004). We have also demonstrated efficient delivery of a 135 kb genomic insert containing the full human low density lipoprotein receptor (*LDLR*) genomic locus for the treatment of Familial Hypercholesterolaemia (FH). We showed that up to 4 months following hydrodynamic tail vein injection, human LDLR protein was detectable in the livers of recipient mice (Hibbitt et al. 2007).

Alternatives to BAC plasmids for delivery of large genomic inserts are also being investigated. Human artificial chromosomes (HACs) for example offer advantages over the bacterial counterparts. HAC vectors are able to replicate and segregate without integration into the host-cell chromosomes and are capable of carrying very large amounts of DNA. HACs have been shown to be an effective means of generating transgenic mice (Suzuki 2006). They have also been used to express *HPRT* (Moralli et al. 2006) and *CFTR* complete genes (Rocchi et al. 2010). In addition a HAC containing the entire 2.4 Mb genomic locus of the human dystrophin gene was used to stably maintain expression of human dystrophin in

mouse embryonic stem cells (Hoyshiya 2009) without any integration into the host cell chromosomes. These cells were used to generate chimeric mice analysis of which showed correct tissue-specific dystrophin expression. As the HACs are stable they could be useful in cell therapies in the future.

Viral vectors have also been developed to achieve infectious delivery of large genomic sequences. A gutless adenovirus with a transgene capacity of 36 kb was used to deliver the whole locus of the human $\alpha 1$ antitrypsin gene (*SERPINA1*) to mice (Schiedner et al. 1998). Vectors based on the Epstein Barr virus have also been used to deliver 120 kb of genomic DNA to cells of lymphoblast and B-cell lineage (White et al. 2002). Other viral systems have been under investigation, such as CMV which has a very large transgene capacity of around 210 kb and a strong haematopoietic cell tropism (Borst and Messerle 2000; Borst and Messerle 2003). However, the best characterised is amplicon vectors based on Herpes Simplex 1 (HSV-1).

2.3 Infectious delivery of a complete genomic locus – HSV-1 amplicons

The large size of a complete genomic locus precludes their use with most viral vector systems which typically have a transgene capacity of less than 20 kb. Vectors based on the herpes virus family however have a much larger transgene capacity. HSV-1 in particular is well described and widely used. Wild-type HSV-1 infects mucosa and establishes a latent phase in sensory neurons. HSV-1 infection produces cold sores in symptomatic infected individuals and 90% of the population has circulating antibodies (Corey and Spear 1986; Bowers et al. 2003). The HSV-1 genome consists of 152 kb of double stranded DNA. Of this only two non-coding regions are required for the packaging of DNA plasmids into HSV-1 virions (Spaete and Frenkel 1982; Spaete and Frenkel 1985). Inclusion of these two packaging signals, the *OriS* and *pac*, into DNA plasmids will promote their packaging into HSV-1 virions in the presence of the HSV-1 genome in *trans*. Plasmids are packaged head to tail in concatemers up to 150 kb. The average size of a human genomic locus is around 40 kb and the 150 kb capacity of HSV-1 amplicons potentially allows delivery of up to 90% of genomic loci as infectious particles, making the vector a highly versatile viral packaging system (Senior and Wade-Martins 2005; Hibbitt and Wade-Martins 2006).

HSV-1 amplicons are capable of infecting dividing and non-dividing cells including, but not limited to; neurons, such as those of the dorsal root ganglion (Marsh et al. 2000), thalamus (Costantini et al. 1999), cortex (Agudo et al. 2002), hippocampus (Adrover et al. 2003), glial cells (Marsh, Dekaban et al. 2000), gliomas (Shah et al. 2004), skeletal muscle (Wang et al. 2000; Wang et al. 2002) and osteoblasts (Xing et al. 2004). HSV-1 amplicons also retain their ability for retrograde transport in neuronal axons allowing for the possibility of peripheral delivery for centrally located targets. For example inoculation of the foot pad in diabetic rats with HSV-1 amplicons expressing nerve growth factor (NGF) resulted in NGF expression in the dorsal root ganglion and protected against diabetes associated peripheral neuropathy (Goss et al. 2002).

One of the key concerns with any viral vector system is safety. HSV-1 amplicons are non-integrating viruses which thus avoids issues of cell transformation by insertional mutagenesis. The packaging of *OriS* and *pac* containing plasmids into HSV-1 amplicons requires the presence of the HSV-1 genome in *trans*. To improve vector safety helper virus-free HSV-1 amplicon packaging systems have been developed. The supply of the HSV-1 genome in *trans* has been achieved by the use of BAC plasmids encompassing the full genome and lacking the packaging signal (Saeki et al. 1998; Stavropoulos and Strathdee

1998). Further safety mechanisms were then added to the system. One recent packaging BAC now widely used has both the packaging signal (*pac*) and the essential gene, ICP27, deleted, and is also oversized to preclude its inclusion into HSV-1 virions (Saeki et al. 2003). It has also been demonstrated that this packaging system is an efficient means of generating infectious BAC (iBAC) particles that have been successfully used for gene expression *in vivo* and *in vitro*. Overall, helper virus-free packaging systems for HSV-1 amplicons result in vector stocks with a much reduced immune-response *in vivo* (Olschowka et al. 2003).

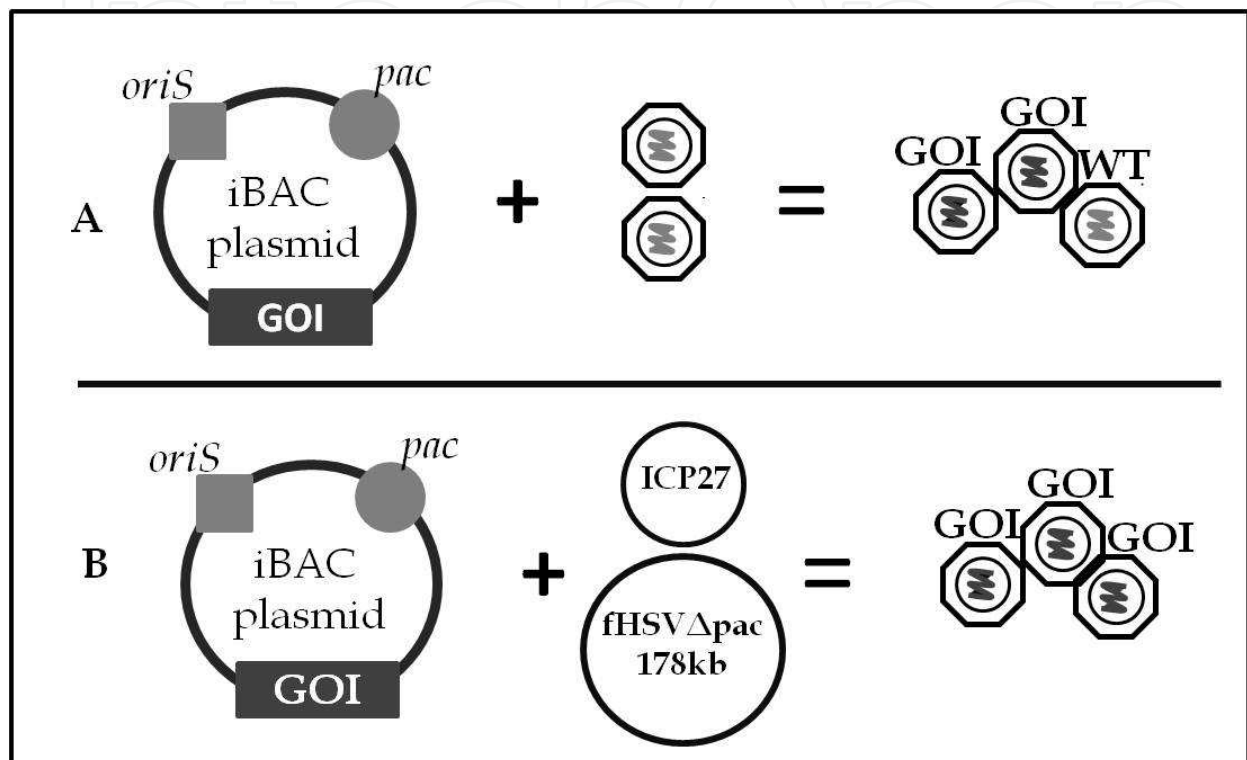
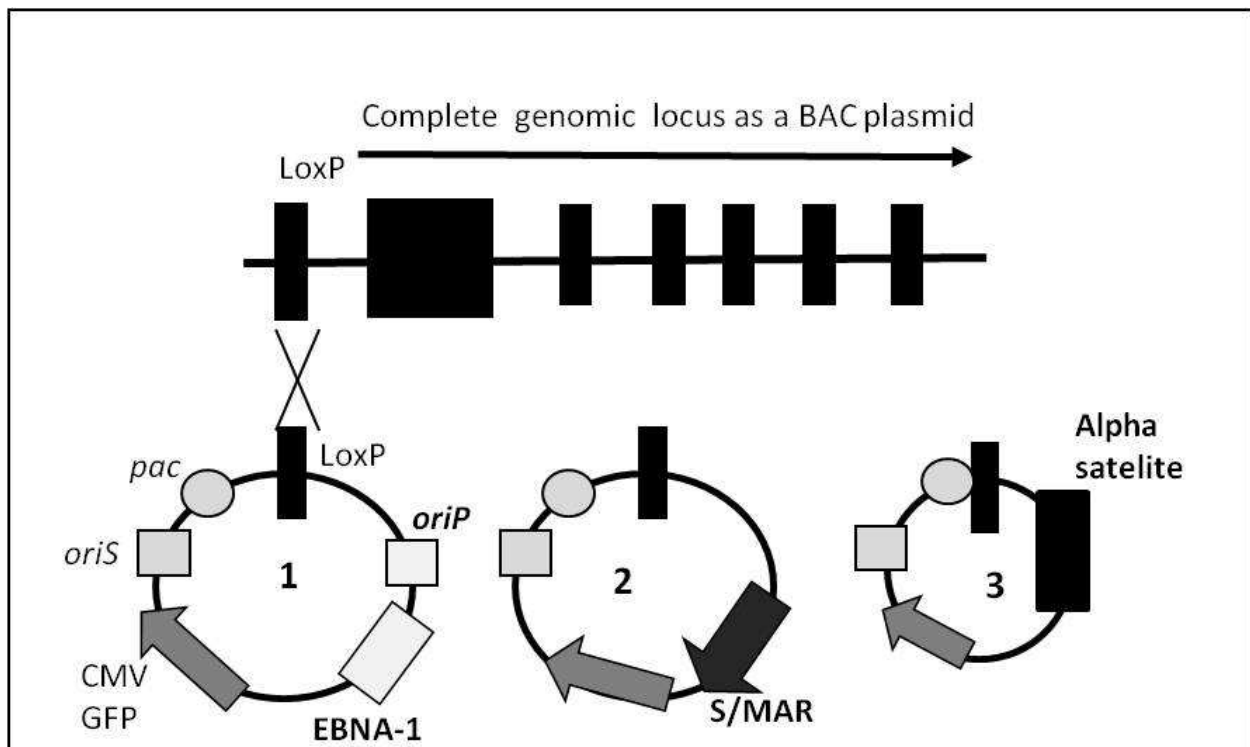


Fig. 1. Packaging of HSV-1 amplicons.

Schematic showing packaging of *OriS* and *pac* containing plasmids into HSV-1 virions. A) AniBAC plasmid containing the gene of interest (GOI) is packaged using packaging virus to supply the HSV-1 genome in trans. This results in viral stocks that contain virions only carrying the iBAC GOI plasmid and wild-type-like virus. B) An improved packaging system using two plasmids in place of the wild-type-like virus. An oversized, ICP27 deleted BAC plasmid and a small plasmid that contains ICP27. This results in viral stocks that only contain virions with GOI containing iBAC.

HSV-1 delivers DNA to the cell as an extrachromosomal element and hybrid vectors have been designed to promote persistence of episomal vector DNA (Figure 2). The best described of these is the HSV-1/EBV hybrid vectors. The inclusion of the EBV latent origin of replication *OriP* and the EBV nuclear antigen (EBNA-1) promotes replication and segregation of DNA during cell division (Wang and Vos 1996; Wade-Martins et al. 2001; Wade-Martins et al. 2003; Muller et al. 2005). Mammalian-based systems have also been investigated. The inclusion of scaffold matrix attachment regions (SMARs) to an iBAC containing the human *LDLR* gene resulted in the establishment of stable, episomal *LDLR* expression in cell lines (Lufino et al. 2007). Successful delivery and establishment of HACs has been shown in cells transduced with iBAC vectors carrying alpha satellite DNA inserts (Moralli, Simpson et al. 2006).



A BAC plasmid containing complete genomic locus can be retrofitted using cre-LoxP recombination with small plasmids containing elements essential for extrachromosomal maintenance of delivered plasmids. Suggested in this figure is the incorporation of a CMV-green fluorescence protein (CMVGFP) reporter gene cassette to allow for assessment of delivery. The HSV-1 packaging signals (*pac* and *oriS*) and retention elements. ; 1) Contains the episomal retention elements from the Epstein Barr virus (EBNA-1 and *oriP*), 2) contains the S/MAR retention elements, 3) Alpha satellite DNA promotes the generation of human artificial chromosomes following delivery.

Fig. 2. Extrachromosomal retention elements.

The use of iBAC vectors in gene therapy is still evolving and a number of studies have demonstrated that these vectors are capable of efficient delivery and genetic complementation. Recent work has used the delivery and expression of the complete genomic of two genes key to the development of Alzheimer's disease and Parkinson's disease, microtubule associated protein tau (*MAPT*) and alpha synuclein (*SNCA*) to study gene function. Amplicon iBAC vectors carrying the 143 kb *MAPT* locus or the 135 kb *SNCA* locus were used to infect cellular models of neurodegeneration (Peruzzi et al. 2009). Expression of *MAPT* and *SNCA* in cells infected with the iBAC vectors was similar to endogenous human levels. It was found by comparing transgene expression in primary neuronal and glial cultures that expression from the *MAPT* locus was strictly regulated by developmental time-point and cell type. Multiple transcripts were observed which mimicked the expression pattern seen in humans. Infection of *MAPT*-deficient neurons in culture with the *MAPT* iBAC vector rescued the cellular phenotype, restoring the normal response to A β -peptide (Peruzzi, Lawler et al. 2009). This delivery system provides an effective means of investigating neurodegeneration in cell models.

Success had already been seen previously using iBAC vectors coding for the *HPRT* (Wade-Martins, Smith et al. 2001) as described in Section 2.2 or bone morphogenic protein 2 (*BMP2*) (Xing, Baylink et al. 2004) loci. The role of *BMP2* in osteoblast formation was investigated using iBAC delivery of the complete *BMP2* locus (Xing, Baylink et al. 2004). *BMP2* has been

implicated in the differentiation of osteoblasts by altering alkaline phosphatase activity in precursor cells (Thies et al. 1992). When the iBAC-*BMP2* vector was used to infect a preosteoblast cell line differentiation was observed consistent with appropriate *BMP2* expression (Xing, Baylink et al. 2004).

Small cDNA-based vectors are not suitable to express loci which undergo complex splicing, such as the *MAPT* locus discussed above. Another example is the human *CDKN2* genomic locus, an especially complex region. Expression from the *CDKN2* locus results in five different genes from only six exons (Sharpless and DePinho 1999). Two of the genes *p16^{INK4a}* and *p14^{ARF}* are particularly complex. Two separate promoter regions control expression of each gene. Separate first exons are spliced with second and third exons which are shared between the two transcripts (Sharpless and DePinho 1999). Both of these genes are involved in cell cycle control. They are being investigated as potential targets for cancer gene therapy. The 132 kb *CDKN2* locus was delivered as a iBAC vector to *CDKN2* knockout glioma cells. Cells transduced with the iBAC vector has physiological levels of expression and correct splicing of three gene products of the *CDKN2* locus, *p15*, *p16^{INK4a}* and *p14^{ARF}* (Inoue et al. 2004). Cells also displayed a phenotype of reduced growth consistent with expression of cell cycle arrest genes (Inoue, Moghaddam et al. 2004).

HSV-1 amplicons have been used to deliver the complete genomic locus of the *LDLR* gene to cell models of FH (Wade-Martins, Saeki et al. 2003; Lufino et al. 2007; Lufino, Manservigi et al. 2007). It was shown that delivery of the *LDLR* locus as an iBAC to *Ldlr* deficient CHO cells and FH patient fibroblasts lead to attenuation of the cellular phenotype as seen by normalisation of uptake and internalisation of LDL (Wade-Martins, Saeki et al. 2003; Lufino, Manservigi et al. 2007). Long-term expression was achieved by the use of the EBV episomal retention elements (Wade-Martins, Saeki et al. 2003) or scaffold matrix attached regions (Lufino, Manservigi et al. 2007). Both episomal retention systems promoted efficient establishment of the *LDLR* locus as a extrachromosomal element (Wade-Martins, Saeki et al. 2003; Lufino, Manservigi et al. 2007). Expression from this locus was down-regulated by sterol treatment through interaction with response elements in the promoter region (Wade-Martins, Saeki et al. 2003; Lufino, Manservigi et al. 2007).

The first example of *in vivo* infectious delivery of a whole genomic locus using the iBAC delivery system was recently described (Gimenez-Cassina et al. 2011). The entire 135 kb *FXN* gene was delivered as an iBAC directly to mouse cerebellum using intracranial injection. Analysis of expression of the *LacZ* reporter gene expressed from within the *FXN* locus showed large numbers of transduced cells in the cerebellum up to 75 days post-injection. This was also compared to reporter gene expression from the viral HSV-1 IE4/5 promoter which was short-lived *in vivo* from the same vector. This work is an elegant demonstration of the potential of BAC plasmid delivery *in vivo* to result in high-level sustained transgene expression.

The *LDLR* expression and *FXN* iBAC delivery studies represent an interesting proof of principle in the use of complete genomic regions to treat genetic disease via gene therapy. Delivery of the whole genomic locus is an elegant way to provide the therapeutic gene in its correct genomic context, ensuring that complementation can be physiologically-relevant, cell-specific and temporally-regulated. This has the potential effect of decreasing genotoxicity and improving the safety and efficacy of gene therapy vectors. However, the use of large genomic inserts can be technically challenging. Work is underway to develop gene expression vectors that combine the gene-regulation of a large insert with the convenience of a small mini-gene vector.

4. Physiologically-relevant gene expression vectors: use of native regulatory regions

Whole genomic loci represent an excellent means of ensuring physiologically-relevant expression in target cells. However, the large size of BAC plasmids precludes their use in all but a few viral vector systems. Although, non-viral systems such as hydrodynamic tail vein injection offer excellent means of delivery to target certain tissues, for many applications BAC-sized plasmids may not be practical. Many studies have attempted to combine the advantages of cDNA vectors (small size, high transduction or transfection efficiency, and high levels of protein expression) with an advantage of a whole genomic locus, being regulated physiologically-relevant expression. Depending on the gene of interest it may be necessary only to ensure expression of the transgene is restricted to a particular cell type; alternatively, it may be necessary to ensure transgene expression also tracks changes in cell physiology to ensure therapeutic and not pathologic transgene expression.

There has been extensive research into targeting gene expression to desired tissues using transcriptional restriction. Such work uses well-characterised promoters and enhancer regions that limit transgene expression to certain desired cell types where they are active. Liver-directed gene expression for example has been achieved using the promoter regions from either the albumin (Follenzi et al. 2002) or $\alpha 1$ antitrypsin genes (Le et al. 1997) to target expression of clotting factors to the liver to treat the haemophilia family of diseases. Targeting gene expression to cells in vascular wall is possible using endothelial cell restricted expression through the use of promoter such as VE-cadherin or VEGFR-1 (Quinn et al. 2000; Nicklin et al. 2001). Vascular smooth muscle specific expression has been achieved using promoters like the SM22 promoter (Imai et al. 2001) and was successfully used to target expression of heme oxygenase 1 to the vascular endothelium.

For some diseases it is not enough to limit expression to cell type. The temporal dynamics of gene expression is also important. One novel way of achieving physiological expression using small cDNA vectors is to generate a genomic mini-gene construct that uses native gene expression elements with a cDNA transgene. Wiskott-Aldrich syndrome (WAS) is an excellent example of the need for native regulatory elements to ensure correct expression dynamics. WAS is an X-linked recessive disease caused by mutations in the WAS protein gene (*WAS*) and defined by thrombocytopenia. *WAS* is expressed in haematopoietic cells at different concentrations depending on the cell type (Toscano et al. 2008). Over-expression in non-haematopoietic cells has been shown to be cytotoxic (Toscano, Frecha et al. 2008). Lentiviral delivery of *WAS* cDNA vectors where expression is governed by different fragments of the *WAS* gene promoter ranging from 500 bp to 1600 bp has demonstrated restricted expression of WASP in haematopoietic cells (Dupre et al. 2004; Martin et al. 2005; Leuci et al. 2009). These vectors were also able to correct the genetic defect in *Was* knockout mice and transduce haematopoietic cells from WAS patients. However, these relatively small promoter fragments were unable to achieve complete physiological regulation and some target cell types did not exhibit correct transgene expression profiles (Frecha et al. 2008). This may be because the promoter fragment was too small to contain all necessary machinery for all target cells.

One example in which clinical success has been seen with a vector containing native regulatory elements is in treatment of Leber's congenital amaurosis, a group of recessive congenital rod-cone dystrophies. Mutations in a retinal pigment epithelium specific gene called *RPE65* causes impaired vision from birth that degenerates to complete blindness later

in life. An adeno-associated virus was constructed that contained the *RPE65* cDNA under the expression control of 1600 bp human *RPE65* promoter (Le Meur et al. 2007). The use of the native promoter region of the *RPE65* gene effectively targeted expression to the retinal epithelium. This was shown to be effective at improving vision in a naturally occurring animal model; the Swedish Briard dog. This vector is now in clinical trials to treat this condition in humans (Bainbridge et al. 2008).

These two examples use only a minimal promoter region, which may be appropriate for those genes where regulatory elements are located in a small region proximal to the start of the coding region. However, as was seen in the WAS example, a larger portion of genomic DNA may be necessary for full physiological regulation. In our laboratory we have investigated the use of a 10 kb piece of genomic DNA to ensure fully physiological expression of the low density lipoprotein receptor gene (*LDLR*) for functional complementation *in vitro* and *in vivo* for the treatment of familial hypercholesterolaemia (FH). FH is caused by mutations in the LDL receptor which binds and internalises LDL cholesterol in response to low intracellular cholesterol levels. Gene therapy for FH has been under investigation for a number of years with many published investigations reporting lowering of plasma cholesterol following delivery of cDNA vectors where expression is driven by heterologous promoters and delivery is achieved by virus-mediated liver-directed transduction with retrovirus (Miyanohara et al. 1988; Wilson et al. 1988; Chowdhury et al. 1991; Grossman et al. 1995; Kankkonen et al. 2004), adenovirus (Ishibashi et al. 1993; Kozarsky et al. 1994; Li et al. 1995; Kozarsky et al. 1996; Nomura et al. 2004; Jacobs et al. 2008; Van Craeyveld et al. 2011) and adeno-associated virus (Lebherz et al. 2004; Kassim et al. 2010). These previous studies included a clinical trial (Grossman, Rader et al. 1995) that showed no evidence of long-term therapeutic effect.

FH represents a significant challenge for gene therapy due to the regulation of *LDLR*. There are three issues that need to be overcome by a therapeutic protocol. Firstly, cholesterol biosynthesis in the liver is constitutive. This means any gene therapy protocol needs to supply an agent that is not only capable of clearing cholesterol already present in the serum, it needs to clear all future cholesterol that will be synthesised by the liver. This puts huge demands on the transduced cells. The second issue is that the *LDLR* locus is tightly regulated by a negative feedback system. Expression from the *LDLR* genomic locus is controlled by levels of intracellular cholesterol. When intracellular levels of cholesterol fall *LDLR* expression is triggered by the binding of sterol response element binding proteins (SREBP) to the sterol response elements in the promoter region (Sudhof et al. 1987; Briggs et al. 1993; Horton et al. 2002). This drives expression of the LDL receptor which binds and internalises LDL particles from the circulation. As cellular cholesterol stores become replete the SREBP become less active and expression from the *LDLR* locus is repressed. There is evidence that suggests hepatocytes are only metabolically able to deal with a certain amount of cholesterol influx. Delivery of cDNA vectors with strong viral promoters driving *LDLR* expression into cells, and animals, using adenovirus results in immediate, dramatic lowering of cholesterol. The initial lowering of cholesterol is slowly eroded over time as intracellular cytotoxic accumulation of cholesterol leads to apoptotic cell death and loss of the transduced population of cells. The third confounding factor is the physiological expression itself. It is apparent that physiologically-relevant expression of the *LDLR* is important for the function of the hepatocytes and the nature of the expression plasmid may limit its ability to clear large amounts of cholesterol from the blood. As the cholesterol stores

in transduced cells become replete the expression of the LDLR transgene will be repressed effectively 'switching off' that particular cell's cholesterol processing ability, this could reduce the therapeutic effectiveness of physiological vectors.

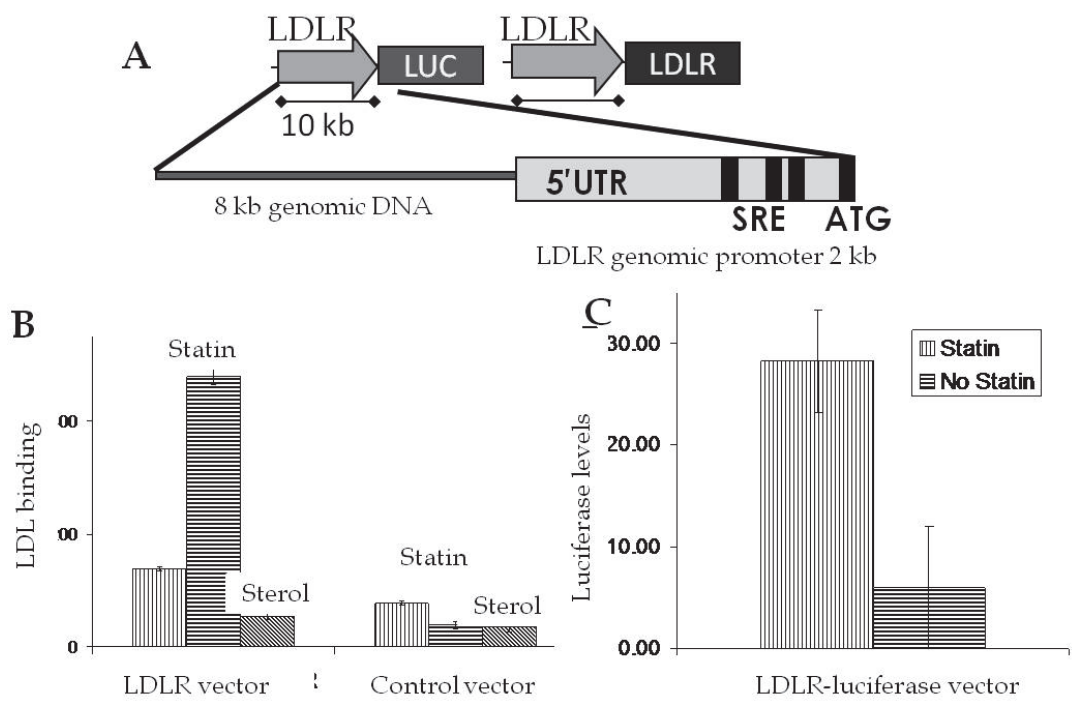
We have previously shown that an iBAC vector containing the 135 kb *LDLR* genomic locus encompassing all 18 exons, intervening introns, sterol response elements and other regulatory elements all in the correct genomic context was capable of rescuing the genetic defect in cell models of FH (Wade-Martins, Saeki et al. 2003; Lufino, Manservigi et al. 2007). When we moved the focus of the work into animal models of FH we found that non-viral gene transfer via hydrodynamic tail vein injection to be an efficient means of vector delivery. Delivery of the *LDLR* BAC using hydrodynamic tail vein injection resulted in long-term expression of human LDLR in the liver over the full four month course of the experiment (Hibbitt, Harbottle et al. 2007). However, the level of hepatocyte transduction was insufficient to result in therapeutic lowering of cholesterol.

The challenge was to maintain physiologically-regulated expression while improving transfection efficiency using hydrodynamic tail vein injection. We built genomic DNA mini-gene vectors that contained 10 kb of genomic DNA encompassing the full genomic DNA promoter of the human *LDLR* gene (Hibbitt et al. 2010). This consisted of the 5' untranslated region, three sterol response elements, the transcription initiation sequence and eight kb upstream of genomic DNA that may contain as yet undescribed enhancer elements (Figure 3a). This genomic DNA promoter region was used to drive the cDNA of either the luciferase or human *LDLR* genes. We have shown *in vitro* that the 10 kb *LDLR* promoter provides stable, long-term, physiological expression and provides functional complementation in cell culture in *Ldlr* deficient CHO cells and FH patient fibroblasts (Figure 3b). Physiological *LDLR* promoter induction was demonstrated using either luciferase expression, or specific LDL binding and internalisation assays in the presence of modifiers of receptor expression, sterols or statins. Statins are specific inhibitors of the de novo cholesterol synthesis pathway. They act on the LDL receptor by decreasing the amount of cholesterol in the cell thereby up-regulating expression from the *LDLR* promoter. Incubation of cells with statins lead to a five-fold up-regulation of expression from the 10 kb promoter element. Sterols down-regulate LDL receptor expression through association with sterol response elements in the *LDLR* promoter region. Incubation with sterols lead to a 50% down-regulation of expression from the 10 kb promoter element.

Liver-directed delivery of LDLR mini-gene vectors *in vivo* using hydrodynamic tail vein injection resulted in expression from the *LDLR* promoter element that was sensitive to drug administration *in vivo*. Pravastatin administration resulted in a five-fold increase in luciferase expression five days after delivery (Figure 3c). The inclusion of EBV episomal retention elements ensured long-term expression up to 240 cell cycles *in vitro* and 9 months *in vivo* (Hibbitt, McNeil et al. 2010).

This work describes the successful combination of genomic DNA regulatory elements with a mini-gene cDNA vector. Expression from this vector is physiologically-regulated by intracellular cholesterol levels. Delivery of the smaller-sized mini-gene vector is more efficient than with the full BAC and highlights the possibility of combining gene replacement gene therapy with traditional medical treatments. Combining gene delivery with treatment that will reduce the amount of cholesterol being synthesised by the liver could increase the power of the gene delivery ensuring efficient binding and internalisation of LDL to reduce plasma cholesterol levels. We have demonstrated *in vivo* that treatment with statin drugs increases the activity of the *LDLR* promoter. We have also investigated the

feasibility of using a more targeted approach. Statins work by inhibiting the conversion of HMG CoA to mevalonate by 3-hydroxy-3-methylglutaryl Coenzyme A reductase (HMGCR), the rate limiting step in cholesterol synthesis inhibitors. We have investigated whether knock-down of HMGCR might have a more specific effect leading to a greater reduction in cholesterol synthesis and therefore greater induction of LDLR. We have demonstrated that treatment with HMGCR-specific small-interfering RNAs and synthetic microRNAs leads to a ten-fold induction of the LDLR promoter *in vitro* and *in vivo* which resulted in a greater reduction in circulating LDL cholesterol in *Ldlr* knockout mice (Hibbitt et al. Accepted).



Generation of LDLR genomic mini-gene vectors. A) A 10kb Icece of genomic DNA encompassing the LDLR promoter the promoter region (UTR) and 8kb upstream of genomic DNA was cloned into vectors containing the cDNA of either luciferase or LDLR. B) Following delivery of LDLR cDNA containing vectors to patient fibroblasts binding and internalisation of LDL was observed. Increased binding was seen after cells were treated with statins. Decreased binding was observed with cells treated with sterols. Cells transduced with a control vector that did not contain the LDLR expression cassette showed no binding and internalisation of LDL. C) Animals injected with luciferase expressing vectors and treated with statins show a 5 fold induction of LDLR promoter activity compared to animals no treated with statin. Results were obtained using live animal luciferase imaging. Figure modified from (Hibbitt et. al.,2010).

Fig. 3. Genomic mini-gene vectors

3. Conclusion

Gene replacement gene therapy has been under investigation for a number of years and is emerging as a potentially potent tool to treat genetic disease. Most gene therapy protocols involve the use of small cDNA vectors where expression of the transgene is constitutive and unregulated. While for some conditions this may be adequate, others will require the expression of therapeutic genes to be regulated spatially, temporally and physiologically to

circumvent issues with genotoxicity, loss of expression, and lack of therapeutic effect in animal models.

Several advances have been made in recent years to address these issues. The use of transcriptional restriction is now wide-spread with many studies employing cell-specific promoters to ensure gene expression is limited to target cells. There have also been developments in the use of whole genomic DNA loci transgenes. This opens the possibility of using vectors for gene therapy which completely recapitulate endogenous expression. Advances in viral vectors based on helper virus-free HSV-1 amplicons mean that viral delivery of large genomic loci >100 kb is now possible *in vivo*. Finally, the development of novel vectors which incorporate genomic DNA elements to achieve physiological expression in a mini-gene vector format will push the use of genomic regulatory elements in gene therapy vectors closer to a clinical reality.

A greater body of work *in vivo* is now needed using genomic regulatory vectors. Work is currently underway in our laboratory to assess the feasibility of using genomic mini-gene vectors to correct genetic defects *in vivo* long-term. We believe that these vectors represent a major addition to the gene therapy field and will be applicable to a wide range of genetic conditions.

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This book aims at providing an up-to-date report to cover key aspects of existing problems in the emerging field of targets in gene therapy. With the contributions in various disciplines of gene therapy, the book brings together major approaches: Target Strategy in Gene Therapy, Gene Therapy of Cancer and Gene Therapy of Other Diseases. This source enables clinicians and researchers to select and effectively utilize new translational approaches in gene therapy and analyze the developments in target strategy in gene therapy.

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